



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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hristina L. Van

Applicant

: Keith David Bussell

Application No.

: 09/692,746

Filed

: October 18, 2000

Title

: METHOD AND APPARATUS FOR DIGITALLY SIGNING AN

ADVERTISEMENT AREA NEXT TO A VALUE-BOARING-TE

Grp./Div. Examiner

: 3621

: Firmin Backer

Docket No.

: 40624/RRT/S850

AUG 0 1 2003

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## INFORMATION DISCLOSURE STATEMENT WITH FEE UNDER 37 CFR §§ 1.97(c) AND 1.17(p)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 PostOffice Box 7068 Pasadena, CA 91109-7068 July 29, 2003

## Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No.

07/31/2003 SSESHE1 00000089 09692746

01 FC:1806 180.00 OP

## **Application No. 09/692,746**

03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/clv

Check \$180 Enclosures:

Copy of IDS

Form PTO/SB/08A/B, w/references CLV PAS517219.1-\*-07/29/03 1:53 PM

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	40624/RRT/S850
INFORMATION DISCLOSURE	Application Number	09/692,746
O 1 P STATEMENT BY APPLICANT	Filing Date	October 18, 2000
( <u>Y</u>	Applicant(s)	Keith David Bussell
(use as many sheets as necessary)	Group Art Unit	3621
	Examiner Name	Firmin Backer

	·	U.S. P	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,933,849	06-12-1990	CONNELL, et al.
•		5,471,925	12-05-1995	HEINRICH, et al.
		5,796,841	08-18-1998	CORDERY, et al.
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		US 2001/0034716 A1	10-25-2001	GOODWIN
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FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
	***	WO 98/57302	12-17-1998	GRAVELL, et al.	
		EP 0 604 146 A3	12-20-1993	HERBERT	
		EP 0 604 146 B1	12-20-1993	HERBERT	
		EP 0 604 148 A3	12-20-1993	HERBERT	
		EP 0 840 258 A2	10-31-1997	RYAN	
		EP 0 948 158 A2	03-17-1999	BAUM	

OTHER DOCUMENTS				
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		PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146pp.; Vol. 3; Number 2; International Association for Cryptologic Research		

L	<del></del>		
EXAMINE SIGNATUR		 DATE CONSIDERED	

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	40624/RRT/S850
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(use as the same as necessary)	Group Art Unit	3621
THE TRANSMINIST	Examiner Name 406 U	Firm Cker
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_		OTHER DOCUMENTS
EXAMINER INITIALS	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICIUM SPECIFICATION; June 13, 1996; 22pp.
		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
		INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.
		TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just For <i>Electronic</i> Mail Anymore; School of Computer Science; March 1, 1993; 1-21pp.; Carnegie Mellon University, Pittsburg, PA, USA
		TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36pp.; Carnegie Mellon University, Pittsburg, PA, USA
		U.S. Patent Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125pp.
		U.S. Patent Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
		U.S. Patent Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.
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		U.S. Patent Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
		U.S. Patent Serial No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
		U.S. Patent Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.
		U.S. Patent Serial No. 09/690,796 filed October 17, 2000, "Secure and Recoverable Database for On-Line Value-Bearing Item System", 71pp.

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	Examiner Name	Firmin Backer

		OTHER DOCUMENTS
EXAMINER   Cite   Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where publisher.		Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
-		U.S. Patent Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
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		U.S. Patent Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

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